

## Investor Declaration

Our Managed Account solution involves you opening your own global brokerage account containing investments in securities. All investment services through Vistafolio (a trading name of Angelo Partners Ltd) are only available to Eligible Investors (investors with previous experience of financial products) and Wholesale Investors (not to Retail Investors).

“Eligible Investor” and “Wholesale Investor” are defined in the Financial Markets Conduct Act 2013 (“the Act”). If you meet the criteria for **one or more** of the categories in the Act, you are considered to be an Eligible Investor or a Wholesale Investor. Note: you only need to qualify under ONE category to be eligible.

<p>&gt; Please select ANY of the 11 criteria below which qualifies you (select all that apply)</p>	<p><b>X</b></p>
<p><b>1</b>    <b><u>Eligible Investors</u></b></p> <p>I understand the consequences of certifying myself to be an eligible investor on the grounds that I have previous experience in acquiring or disposing of financial products (eg. bonds, shares, managed funds) that allows me to assess:</p> <ul style="list-style-type: none"> <li>• The merits of the service (including assessing the value and the risks of the financial products involved);</li> <li>• My own information needs in relation to the service; and</li> <li>• The adequacy of the information provided by any person involved.</li> </ul>	
<p><b><u>Wholesale Investors</u></b></p>	
<p><b>2</b>    If I choose to invest through a Vistafolio service, I intend to invest at least \$750,000.</p>	
<p><b>3</b>    I own, or at any time during the 2 year period immediately before giving this certificate have owned, a portfolio of specified financial products (as defined in clause 38 of Schedule 1 to the Act – ie. category 1 financial products such as bonds, shares, managed funds) of a value of at least \$1 million (in aggregate). I certify that I am a Wholesale Investor within the meaning of clause 3(2)(b) of Schedule 1 to the Act due to meeting the investment activity criteria specified in clause 38 of Schedule 1 to the Act on these grounds.</p>	
<p><b>4</b>    My net assets, combined with the assets of entities I control, exceeded \$5 million as at the last day of each of the 2 most recently completed financial years, or the total consolidated turnover of me and the entities I control exceeded \$5 million in each of the 2 most recently completed financial years. I certify that I am a Wholesale Investor within the meaning of clause 3(2)(c) of Schedule 1 to the Act on these grounds.</p>	
<p><b>5</b>    I have, during the 2 year period immediately before giving this certificate, carried out 1 or more transactions to acquire specified financial products (as defined in clause 38 of Schedule 1 to the Act – ie. category 1 financial products such as bonds, shares, managed funds) where the amount payable under those transactions (in aggregate) is at least \$1 million and the other parties to the transactions were not associated with me. I certify that I am a Wholesale Investor within the meaning of clause 3(2)(b) of Schedule 1 to the Act due to meeting the investment activity criteria specified in clause 38 of Schedule 1 to the Act on these grounds.</p>	

<b>6</b> My principal business consists of investing in financial products. I certify that I am a Wholesale Investor within the meaning of clause 3(2)(a) of Schedule 1 to the Act due to being an investment business as specified in clause 37 of Schedule 1 to the Act on these grounds.	
<b>7</b> My principal business consists of providing a financial adviser service (within the meaning of section 9 of the Financial Advisers Act 2008) in relation to financial products. I certify that I am a Wholesale Investor within the meaning of clause 3(2)(a) of Schedule 1 to the Act due to being an investment business as specified in clause 37 of Schedule 1 to the Act on these grounds.	
<b>8</b> My principal business consists of providing a broking service (within the meaning of section 77B of the Financial Advisers Act 2008) in relation to financial products. I certify that I am a Wholesale Investor within the meaning of clause 3(2)(a) of Schedule 1 to the Act due to being an investment business as specified in clause 37 of Schedule 1 to the Act on these grounds.	
<b>9</b> My principal business consists of trading in financial products on behalf of other people. I certify that I am a Wholesale Investor within the meaning of clause 3(2)(a) of Schedule 1 to the Act due to being an investment business as specified in clause 37 of Schedule 1 to the Act on these grounds.	
<b>10</b> Within the last 10 years immediately before giving this certificate, I've been employed or engaged in an investment business and, for at least 2 years during that 10 year period, I participated to a material extent in the investment decisions made by the investment business. I certify that I am a Wholesale Investor within the meaning of clause 3(2)(b) of Schedule 1 to the Act due to meeting the investment activity criteria specified in clause 38 of Schedule 1 to the Act on these grounds.	
<b>11</b> I'm a QFE or an authorised financial adviser. I certify that I am a Wholesale Investor within the meaning of clause 3(2)(a) of Schedule 1 to the Act due to being an investment business as specified in clause 37 of Schedule 1 to the Act on these grounds.	

For the purposes of assessing and investing through the services to be provided by Angelo Partners Ltd T/A Vistafolio, I certify that I am or expect to be a Wholesale Investor and/or Eligible Investor as defined in the Financial Markets Conduct Act 2013.

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Name/s:

Date:

Signed:

Please scan/email this form to [care@vistafolio.com](mailto:care@vistafolio.com) or return to your referring advisor.